### Error-tolerant Finite State Recognition with Applications to Morphological Analysis and Spelling Correction

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#### **ABSTRACT**

This paper presents a notion of error-tolerant recognition with finite state recognizers. Error-tolerant recognition enables the recognition of strings that deviate mildly from any string in the regular set recognized by the underlying finite state recognizer. Such recognition has applications in error-tolerant morphological processing, spelling correction, and approximate string matching in information retrieval. After a description of the basic concepts and algorithms involved, we give examples from two applications: In the context of morphological analysis, error-tolerant recognition allows misspelled input word forms to be corrected, and morphologically analyzed concurrently. We present an application of this to error-tolerant analysis of agglutinative morphology of Turkish words. The algorithm can be applied to morphological analysis of any language whose morphology is fully described by two-level finite state transducers, regardless of the word formation processes. In the context of spelling correction, error-tolerant recognition can be used to enumerate correct candidate forms from a given misspelled string within a certain edit distance. Again it can be applied to any language with a word list comprising all inflected forms, or whose morphology is fully described by two-level finite state transducers. We present experimental results for spelling correction for a number of languages. results indicate that such recognition works very efficiently for candidate generation in spelling correction for many European languages such as English, Dutch, French, German, Italian (and others) with very large word lists of root and inflected forms (some containing well over 200,000 forms), generating all candidate solutions within 10 to 45 milliseconds (with edit distance 1) on a SparcStation 10/41. For spelling correction in Turkish, errortolerant recognition operating with an (circular) recognizer of Turkish words (with about 29,000 states and 119,000 transitions) can generate all candidate words in less than 20 milliseconds, with edit distance 1.

### 1 Introduction

Error-tolerant finite state recognition enables the recognition of strings that deviate *mildly* from any string in the regular set recognized by the underlying finite state recognizer. For

example, suppose we have a recognizer for the regular set over  $\{a,b\}$  described by the regular expression  $(aba)^* + (bab)^*$ , and we would like to recognize inputs which may be corrupted (but not too much) due to a number of reasons: e.g., abaaaba may be matched to abaaba correcting for a spurious a, or babbb may be matched to babbab correcting for a deletion, or ababba may be matched to either abaaba correcting a b to an a or to ababab correcting the reversal of the last two symbols. Error-tolerant recognition can be used in many applications that are based on finite-state recognition, such as morphological analysis, spelling correction, or even tagging with finite-state models [15]. The approach presented in this paper uses the same finite-state recognizer that is built to recognize the regular set, but relies on a very efficiently controlled recognition algorithm based on depth-first search of the state graph of the recognizer. In morphological analysis, misspelled input word forms can be corrected, and morphologically analyzed concurrently. In the context spelling correction, error-tolerant recognition can universally be applied to the generation candidate correct forms, for any language with a word list comprising all inflected forms, or whose morphology is fully described by automata such as two-level finite state transducers [7, 8]. The algorithms for error tolerant recognition are very fast. For instance, experimental results from a number of languages indicate that the proposed approach works very efficiently for candidate generation in spelling correction for many European languages with very large word lists of root and inflected forms (containing around 200,000 forms), generating all solutions within 10 to 45 milliseconds, with edit distance 1. It is also applicable to languages which have productive compounding, and/or agglutination as word formation processes.

The paper first presents the notion of error tolerant recognition with finite state recognizers and presents an algorithm for error tolerant recognition with an arbitrary finite-state recognizer. It then presents an application of the approach to error-tolerant morphological analysis with two-level transducers, along with an example from Turkish morphology. The paper then presents an application of error-tolerant recognition to candidate generation in spelling correction, along with extensive results from many languages.

## 2 Error-tolerant Finite State Recognition

We can informally define error-tolerant recognition with a finite-state recognizer (FSR), as the recognition of all strings in the regular set (accepted by the FSR), and additional strings which can be obtained from any string in the set by a small number of unit editing operations of insertion, deletion, replacement, and transposition of adjacent symbols.

The notion of error-tolerant recognition requires an error metric for measuring how much two strings deviate from each other. The *edit distance* between two strings measures the minimum number of such unit editing operations that are necessary to convert one string into another. Let  $Z = z_1, z_2, \ldots, z_p$ , denote a generic string of p symbols from an alphabet A. Z[j] denotes the initial substring of any string Z up to and including the  $j^{th}$  symbol. We will use X (of length m) to denoted the misspelled string, and Y (of length n) to denote the string that is a (possibly partial) candidate string. Given two strings X and Y, the edit distance ed(X[m], Y[n]) computed according to the recurrence below (from

Du and Chang [3]), gives the minimum number of unit editing operations to convert one string to the other.

$$ed(X[i+1], Y[j+1]) = ed(X[i], Y[j]) \qquad \text{if } x_{i+1} = y_{j+1} \\ (\text{last characters are same})$$

$$= 1 + min\{ed(X[i-1], Y[j-1]), & \text{if both } x_i = y_{j+1} \\ ed(X[i+1], Y[j]), & \text{and } x_{i+1} = y_j \\ ed(X[i], Y[j+1])\} \qquad (\text{last two characters are transposed})$$

$$= 1 + min\{ed(X[i], Y[j]), & \text{otherwise} \\ ed(X[i+1], Y[j]), & \text{ed}(X[i], Y[j+1])\}$$

$$ed(X[0], Y[j]) = j & 0 \le j \le n \\ ed(X[i], Y[0]) = i & 0 \le i \le m$$

$$ed(X[-1], Y[j]) = ed(X[i], Y[-1]) = \max(m, n) \qquad (\text{Boundary definitions})$$

For example ed(recoginze, recognize) = 1, since transposing i and n in the former string would give the latter. Similarly ed(sailn, failing) = 3 as in the former string, one could change the initial f to s, insert an i before the n, and insert a g at the end to obtain the latter.

A (deterministic) FSR R is described by a 4-tuple  $R = (Q, A, \delta, F)$  with Q denoting the set of states, A denoting the input alphabet,  $\delta: Q \times A \to Q$ , denoting the state transition function and  $F \subseteq Q$  denoting the final states [6]. Let  $L \subseteq A^*$  be the regular language accepted by R. Given an edit distance error threshold t, we define a string  $X[m] \notin L$  to be recognized by R with an error at most t, if the set

$$C = \{Y[n] \mid Y[n] \in L \text{ and } ed(X[m], Y[n]) \le t\}$$

is not empty.

## 2.1 An Algorithm for Error-tolerant Recognition

Any finite state recognizer can also be viewed as a directed graph with arcs are labeled by symbols in A.<sup>1</sup> Standard finite-state recognition corresponds to traversing a path (possibly involving cycles) in the graph of the recognizer, starting from the start node to one of the final nodes, so that the concatenation of the labels on the arcs along this path matches the input string. For error-tolerant recognition one needs to find <u>all paths</u>

<sup>&</sup>lt;sup>1</sup>We may use state and node, and transition and arc, interchangeably.

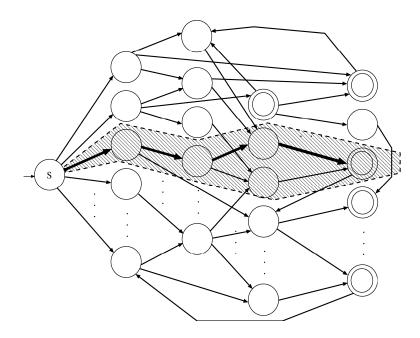


Figure 1: Searching the recognizer graph.

from the start node to one of the final nodes, so that when the labels on the links along a path are concatenated, the resulting string is within a given edit distance threshold t, of the (erroneous) input string. With t > 0, the recognition procedure becomes a search on this graph as shown in Figure 1.

Searching the graph of the recognizer has to be very fast if error-tolerant recognition is to be of any practical use. This means that paths that can lead to no solutions have to be pruned so that the search can be limited to a very small percentage of the search space. Thus we need to make sure that any candidate string that is generated as the search is being performed does not deviate from certain initial substrings of the erroneous string by more than a certain amount. To detect such cases, we use the notion of a *cut-off* edit distance. The cut-off edit distance measures the minimum edit distance between an initial substring of the incorrect input string, and the (possibly partial) candidate correct string. Let Y be a partial candidate string whose length is n, and let X be the incorrect string of length m. Let  $l = \min(1, n - t)$  and  $u = \max(m, n + t)$ . The cut-off edit distance cuted(X[m], Y[n]) is defined as

$$cuted(X[m],Y[n]) = \min_{l \le i \le u} ed(X[i],Y[n]).$$

For example, with t = 2:

```
cuted(\text{reprter}, \text{repo}) = \min\{ ed(\text{re}, \text{repo}) = 2, \\ ed(\text{rep}, \text{repo}) = 1, \\ ed(\text{repr}, \text{repo}) = 1, \\ ed(\text{reprt}, \text{repo}) = 2, \\ ed(\text{reprte}, \text{repo}) = 3\} = 1.
```

Note that except at the boundaries, the initial substrings of the incorrect string X considered, are of length n-t to length n+t. Any initial substring of X shorter than n-t needs more than t insertions, and any initial substring of X longer than n+t requires more than t deletions, to at least equal Y in length, violating the edit distance constraint (see Figure 2).

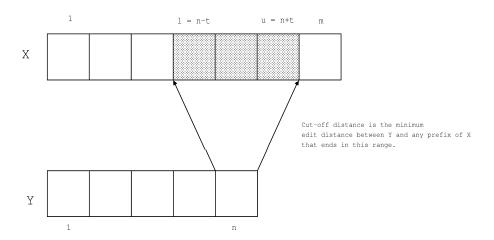


Figure 2: The cut-off edit distance.

Given an incorrect string X, a partial candidate string Y is generated by successively concatenating relevant labels along the arcs as transitions are made, starting with the start state. Whenever we extend Y, we check if the cut-off edit distance of X and the partial Y, is within the bound specified by the threshold t. If Y is already beyond the threshold, then the last transition is backed-off to the source node (in parallel with the shortening of Y) and some other transition is tried. Backtracking is recursively applied when the search can not be continued from that state. If, during the construction of Y, a final state is reached without violating the cuted constraint, and  $ed(X[m], Y[n]) \leq t$ , at that point, then Y is a valid correct form of the incorrect input string.

Denoting the states by subscripted q's ( $q_0$  being the initial state) and the symbols in the alphabet (and labels on the directed edges) by a, we can give the following algorithm for generating all Y's by a (slightly modified) depth-first probing of the graph, as shown in Figure 3.

The crucial point in this algorithm is that the cut-off edit distance computation can be performed very efficiently using a dynamic programming based approach. To illustrate this, we use the distance matrix H, an m by n matrix with element H(i,j) = ed(X[i], Y[j]) [3]. We can note that the computation of the element H(i+1,j+1) recursively depends on only H(i,j), H(i,j+1), H(i+1,j) and H(i-1,j-1), from the definition of the edit distance (see Figure 4.)

<sup>&</sup>lt;sup>2</sup>Note that we have to do this check since we may come to other irrelevant final states during the search.

```
/*push empty candidate, and start node to start search */ push((\epsilon,q_0)) while stack not empty begin pop((Y',q_i)) \text{ /* pop partial surface string } Y' and the node */ for all \ q_j \text{ and } a \text{ such that } \delta(q_i,a) = q_j begin /* extend the candidate string */ Y = concat(Y',a) \text{ /* } n \text{ is the current length of } Y \text{ */}  /* check if Y has deviated too much, if not push */  \text{ if } cuted(X[m],Y[n]) \leq t \text{ then } push((Y,q_j))  /* also see if we are at a final state */  \text{ if } ed(X[m],Y[n]) \leq t \text{ and } q_j \in F \text{ then output } Y  end end
```

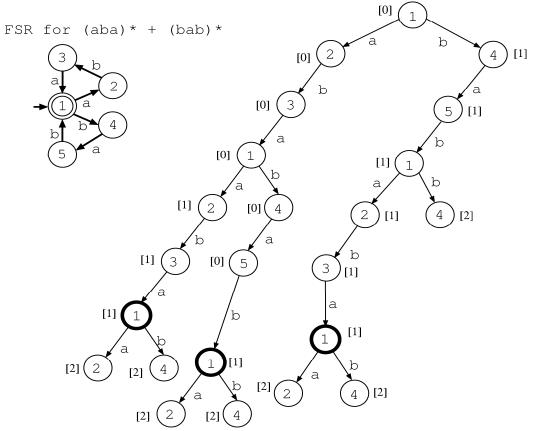
Figure 3: Algorithm for error-tolerant recognition

```
 \begin{pmatrix} \dots & \dots & \dots & \dots & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & H(i-1,j-1) & \dots & \dots & \dots \\ \dots & \dots & H(i,j) & H(i,j+1) & \dots \\ \dots & \dots & H(i+1,j) & H(i+1,j+1) & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \dots & \dots & \dots & \dots \end{pmatrix}
```

Figure 4: Computation of the elements of the H matrix.

During the depth first search of the state graph of the FSR, entries in column n of the matrix H have to be (re)computed, only when the candidate string is of length n. During backtracking, the entries for the last column are discarded, but the entries in prior columns are still valid. Thus all entries required by H(i+1,j+1), except H(i,j+1), are already available in the matrix. The computation of cuted(X[m],Y[n]) involves a loop in which the minimum is computed. This loop (indexing along column j+1) computes H(i,j+1) before it is needed for the computation of H(i,j+1).

We now present (in Figure 5) a simple example for this search algorithm for a simple finite state recognizer for the regular expression  $(aba)^* + (bab)^*$ , and the search graph for the input string ababa. The thick circles from left to right indicate the nodes at which we



Search graph for matching ababa with threshold 1

Numbers in  $\lceil$  i's show the the cut-off edit distance when search reaches that node.

Figure 5: Recognizer for  $(aba)^* + (bab)^*$  and search graph for ababa.

have the matching strings abaaba, ababab and bababa, respectively. Prior visits to the final state 1, violate the final edit distance constraint. (Note that the visit order of siblings depend on how one orders the outgoing arcs from a state.)

# 3 Application to Error-Tolerant Morphological Analysis

The error-tolerant finite state recognition can be applied to morphological analysis, in which, instead of rejecting a given misspelled form, the analyzer attempts to apply the morphological analysis to forms that are within a certain (configurable) edit distance of the incorrect form. Two-level transducers [7, 8] provide a suitable model for the application of error-tolerant recognition. Such transducers capture all morphotactic and morphographemic phenomena, and alternations in the language in a uniform manner. They can be abstracted as finite state transducers over an alphabet of lexical and surface symbol pairs 1:s, where either 1 or s (but not both) may be the null symbol 0. It is possible to apply error-tolerant recognition to languages whose word formations employ productive compounding and/or agglutination, and in fact to any language whose morphology is described completely as one (very large) two-level finite state transducer. Full scale descriptions using this approach already exist for a number of languages like English, French, German, Turkish, Korean [9].

Application of error-tolerant recognition to morphological analysis proceeds as described earlier. After a successful match with a surface symbol, the corresponding lexical symbol is appended to the output gloss string. During backtracking the candidate surface string and the gloss string are again shortened in tandem. The basic algorithm for this case is given in Figure 6.<sup>3</sup> The actual algorithm is a slightly optimized version of this where transitions with null surface symbols are treated as special during forward and backtracking traversals to avoid unnecessary computations of the cut-off edit distance.

We can demonstrate error-tolerant morphological analysis with a two-level transducer for the analysis of Turkish morphology. Agglutinative languages such as Turkish or Finnish, differ from languages like English in the way lexical forms are generated. Words are formed by productive affixations of derivational and inflectional suffixes to roots or stems like, "beads-on-a-string" [14]. Furthermore, roots and suffixes (morphemes) may undergo changes at the boundaries due to various phonetic interactions. A typical nominal or a verbal root may have thousands of valid forms which never appear in the dictionary. For instance, we can give the following (rather exaggerated) example from Turkish:

uyqarlastıra mayabilecekleri mizden missinizcesine

whose root is the adjective *uygar* (civilized).<sup>4</sup> The morpheme breakdown (with morphological glosses underneath) is:<sup>5</sup>

```
+las
uygar
                     +tir
                                     +ama
                                              +yabil
                                                      +ecek
         +AtoV
                     +CAUS
civilized
                                     +NEG
                                              +POT
                                                      +VtoA(AtoN)
                      +den
                                                      +cesine
+ler
         +imiz
                                     +mis
                                              +siniz
         +POSS-1PL
                                     +PAST
+3PL
                     +ABL(+NtoV)
                                              +2PL
                                                      +VtoAdv
```

<sup>&</sup>lt;sup>3</sup>Note that transitions are now labeled with l:s pairs.

<sup>&</sup>lt;sup>4</sup>This is an adverb meaning roughly "(behaving) as if you were one of those whom we might not be able to civilize."

<sup>&</sup>lt;sup>5</sup>Glosses in parentheses indicate derivations not explicitly indicated by a morpheme.

```
/*push empty candidate string, and start node
to start search on to the stack */
push((\epsilon,\epsilon,q_0))
while stack not empty
    begin
        pop((surface', lexical', q_i)) /* pop partial strings
               and the node from the stack */
        for all q_i and l:s such that \delta(q_i,l:s)=q_i
           begin /* extend the candidate string */
               surface = concat(surface', s)
               if cuted(X[m], surface[n]) \leq t then
                   begin
                        lexical = concat(lexical', l)
                        push((surface, lexical, q_i))
                        if ed(X[m], surface[n]) \leq t and q_j \in F then
                          output lexical
                   end
           end
    end
```

Figure 6: Algorithm for error-tolerant morphological analysis.

The portion of the word following the root consists of 11 morphemes each of which either adds further syntactic or semantic information to, or changes the part-of-speech, of the part preceding it. Though most words one uses in Turkish are considerably shorter than this, this example serves to point out some of the fundamental difference of the nature of the word structures in Turkish and other agglutinative languages.

Our two-level transducer for Turkish is based on a lexicon of about 23,500 root words and is an re-implementation of PC-KIMMO [2] version of the same description [11], using Xerox two-level transducer technology [7]. This description of Turkish morphology has 26 two-level rules that implement the morphographemic phenomena such a vowel harmony and consonant changes across morpheme boundaries, and about 100 additional rules, again based on the two-level formalism, that fine tune the morphotactics by enforcing sequential and long-distance feature sequencing and co-occurrence constraints, in addition to constraints imposed by standard alternation linkage among various lexicons. Turkish nominal morphotactics is circular due to a relativization suffix and there is considerable linkage between nominal and verbal morphotactics due to productive derivational suffixes. The resulting determinized and minimized transducer has 28,613 states and 81,566 transitions, with an average fan out of about 2.85 transitions per state (including transitions with null surface symbols). It analyzes a given Turkish lexical form into a set feature-value structures (instead of the more conventional sequence of morpheme glosses) that is used in a number of natural language applications. The Xerox software allows the resulting finite state transducer to be exported in a tabular form which can be imported to other

applications.

This transducer has been used as input to an analyzer implementing the error-tolerant recognition algorithm in Figure 6. The analyzer first attempts to parse the input with t = 0, and if it fails, relaxes t up to 2, if it can not find any parse with a smaller t, and can process about 150 (correct) forms a second on a Sparcstation  $10/41.6^{6,7}$  Below, we provide a transcript of a run:<sup>8</sup>

```
ENTER WORD > eva
Threshold 0 ... 1 ...
     => ((CAT ADJ)(ROOT ela))
reva => ((CAT ADJ)(ROOT reva))
     => ((CAT NOUN)(ROOT av)(AGR 3SG)(POSS NONE)(CASE DAT))
ava
     => ((CAT NOUN)(ROOT deva)(AGR 3SG)(POSS NONE)(CASE NOM))
deva
     => ((CAT NOUN)(ROOT eda)(AGR 3SG)(POSS NONE)(CASE NOM))
     => ((CAT NOUN)(ROOT ela)(AGR 3SG)(POSS NONE)(CASE NOM))
ela
enva => ((CAT NOUN)(ROOT enva)(AGR 3SG)(POSS NONE)(CASE NOM))
evla => ((CAT NOUN)(ROOT evla)(AGR 3SG)(POSS NONE)(CASE NOM))
     => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS NONE)(CASE ACC))
     => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS NONE)(CASE DAT))
eve
     => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS NONE)(CASE NOM))
ev
     => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS 3SG)(CASE NOM))
evi
     => ((CAT NOUN)(ROOT eza)(AGR 3SG)(POSS NONE)(CASE NOM))
leva => ((CAT NOUN)(ROOT leva)(AGR 3SG)(POSS NONE)(CASE NOM))
neva => ((CAT NOUN)(ROOT neva)(AGR 3SG)(POSS NONE)(CASE NOM))
     => ((CAT NOUN)(ROOT ova)(AGR 3SG)(POSS NONE)(CASE NOM))
     => ((CAT VERB)(ROOT ov)(SENSE POS)(MOOD OPT)(AGR 3SG))
ova
ENTER WORD > eviminkinn
Threshold 0 ... 1 ...
            => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS 1SG)(CASE GEN)
                    (CONV PRONOUN REL)(AGR 3SG)(POSS NONE)(CASE ACC))
eviminkine
            => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS 1SG)(CASE GEN)
                    (CONV PRONOUN REL)(AGR 3SG)(POSS NONE)(CASE DAT))
eviminkinin => ((CAT NOUN)(ROOT ev)(AGR 3SG)(POSS 1SG)(CASE GEN)
                    (CONV PRONOUN REL)(AGR 3SG)(POSS NONE)(CASE GEN))
ENTER WORD > akIllInnikiler
Threshold 0 ... 1 ... 2 ...
akIllInInkiler => ((CAT NOUN)(ROOT akIl)(CONV ADJ LI)(CONV NOUN)(AGR 3SG)
                      (POSS NONE)(CASE GEN)(CONV PRONOUN REL)
                      (AGR 3PL)(POSS NONE)(CASE NOM))
akIllInInkiler => ((CAT NOUN)(ROOT akIl)(CONV ADJ LI)(CONV NOUN)(AGR 3SG)
```

<sup>&</sup>lt;sup>6</sup>No attempt was made to compress the FSR.

<sup>&</sup>lt;sup>7</sup>The Xerox *infl* program working on the proprietary compressed representation of the same transducer can process about 1000 forms/sec on the same platform.

<sup>&</sup>lt;sup>8</sup>The outputs have been slightly edited for formatting. Upper case letters in roots and lexical forms correspond to special Turkish characters, e.g., I corresponds to 1, and S corresponds to §. The feature names denote the usual morphosyntactic features. CONV denotes derivations to the category indicated by the second token with a suffix denoted by the third token, if any.

```
(AGR 3PL)(POSS NONE)(CASE NOM))
akIllIndakiler => ((CAT NOUN)(ROOT akIl)(CONV ADJ LI)(CONV NOUN)(AGR 3SG)
                      (POSS 2SG)(CASE LOC)(CONV ADJ REL)(CONV NOUN)
                      (AGR 3PL)(POSS NONE)(CASE NOM))
ENTER WORD > teeplerdeki
Threshold 0 ... 1 ...
tepelerdeki => ((CAT NOUN)(ROOT tepe)(AGR 3PL)(POSS NONE)(CASE LOC)
                       (CONV ADJ REL))
teyplerdeki => ((CAT NOUN)(ROOT teyb)(AGR 3PL)(POSS NONE)(CASE LOC)
                       (CONV ADJ REL))
ENTER WORD > uygarlaStIramadIklarmIIzdanmISsInIzcasIna
Threshold 0 ... 1 ...
uygarlaStIramadIklarImIzdanmISsInIzcasIna =>
            ((CAT ADJ)(ROOT uygar)(CONV VERB LAS)(VOICE CAUS)(SENSE NEG)
                 (CONV ADJ DIK)(AGR 3PL)(POSS 1PL)(CASE ABL)(CONV VERB)
                 (TENSE NARR-PAST) (AGR 2PL)
                 (CONV ADVERB CASINA) (TYPE MANNER))
ENTER WORD > aytpIrttIrIlan
Threshold 0 ... 1 ... 2 ...
arttIrttIrIlan => ((CAT VERB)(ROOT art)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
astIrttIrIlan => ((CAT VERB)(ROOT as)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS) (SENSE POS) (CONV ADJ YAN))
attIrttIrIlan => ((CAT VERB)(ROOT at)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
               => ((CAT VERB)(ROOT ayIr)(VOICE CAUS)(VOICE CAUS)(VOICE PASS)
ayIrttIrIlan
                               (SENSE POS)(CONV ADJ YAN))
aydIrttIrIlan => ((CAT VERB)(ROOT ay)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
               => ((CAT VERB)(ROOT aC)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
aCtIrttIrIlan
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
yaptIrttIrIlan => ((CAT VERB)(ROOT yap)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
yatIrttIrIlan => ((CAT VERB)(ROOT yat)(VOICE CAUS)(VOICE CAUS)(VOICE CAUS)
                               (VOICE PASS)(SENSE POS)(CONV ADJ YAN))
```

(POSS 2SG)(CASE GEN)(CONV PRONOUN REL)

In an application context, the candidates that are generated by such a morphological

analyzer can be disambiguated or filtered to a certain extent by constraint-based tagging techniques (e.g., [12, 15]) that take into account syntactic context for morphological disambiguation.

## 4 Applications to Spelling Correction

Spelling correction is an important application for error-tolerant recognition. There has been substantial amount work on spelling correction (see the excellent review by Kukich [10]). All methods essentially enumerate plausible candidates which resemble the incorrect word, and use additional heuristics to rank the results. However, most techniques assume a word list of all words in the language. These approaches are suitable for languages like English for which it is possible to enumerate such a list. They are not directly suitable or applicable to languages like German, that have very productive compounding, or agglutinative languages like Finnish, Hungarian or Turkish, in which the concept of a word is much larger than what is normally found in a word list. For example, Finnish nouns have about 2000 distinct forms while Finnish verbs have about 12,000 forms ([4], pp. 59–60). The case in Turkish is also similar where for instance nouns may have about 170 basic different forms, not counting the forms for adverbs, verbs, adjectives, or other nominal forms, generated (sometimes circularly) by derivational suffixes (Hankamer [5] gives much higher figures (in the millions) for Turkish, presumably by taking into account derivations.)

There have been some recent approaches to spelling correction using morphological analysis techniques. Aduriz et. al. [1] have used a two-level morphology approach to spelling correction in Basque. Their approach uses two-level rules to describe common insertion and deletion errors, in addition to the two-level rules for the morphographemic component. Oflazer and Güzey[13] have used a two-level morphology approach to spelling correction in agglutinative languages, which has used a coarser morpheme-based morphotactic description instead of the lexical/surface symbol approach presented here. The approach presented there essentially generates a valid sequence of the lexical forms of root and suffixes and uses a separate morphographemic component implementing the two-level rules, to derive surface forms. However, the approach presented there is very slow as the underlying PC-KIMMO morphological analysis and generation system is slow. The approach presented in this paper, assumes that all morphographemic phenomena are already folded into one finite state machine at compile time.

For languages like English where all inflected forms can be included in a word list, the word list can be used to construct a finite state recognizer structured as a standard letter tree recognizer (which has an acyclic graph) as shown in Figure 7, to which error-tolerant recognition can be applied. Furthermore, just as in morphological analysis, the two-level transducers can be used for spelling correction, so one algorithm can be applied to any language whose morphology is described using such transducers.

<sup>&</sup>lt;sup>9</sup>Ranking is dependent on the language, the application, and the error model. It is an important component of the spelling correction problem, but is not addressed in this paper.

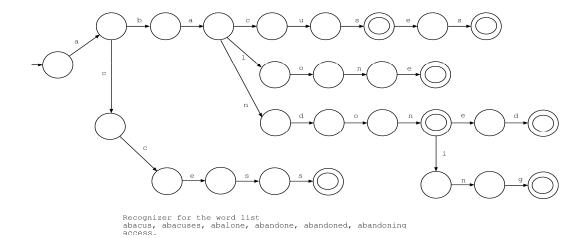


Figure 7: A finite-state recognizer for a word list.

We demonstrate the application of error-tolerant recognition to spelling correction by constructing finite state recognizers in the form of letter tree from large word lists that contain root and contain inflected forms of words for 10 languages, obtained from a number of resources on the Internet. Table 1 gives statistics about the word lists used. The Dutch, French, German, English (two different lists), and Italian, Norwegian, Swedish, Danish and Spanish word lists contained some or all inflected forms in addition to the basic root forms. The Finnish word list contained unique word forms compiled from a corpus, although the language is agglutinative.

For edit distance thresholds, 1, 2, and 3, we selected randomly, 1000 words from each word list and perturbed them by random insertions, deletions, replacements and transpositions so that each misspelled word had the respective edit distance from the correct form. Kukich [10], citing a number of studies, reports that typically 80% of the misspelled words contain a single error of one of the unit operations, though there are specific applications where the percentage of such errors are lower. Our earlier study of an error model developed for spelling correction in Turkish also indicated similar results [13].

Tables 2, 3, and 4 present the results from correcting these misspelled word lists for edit distance threshold 1, 2, and 3 respectively. The runs were performed on a Sparcstation 10/41. The second column in these tables gives the average length of the misspelled string in the input list. The third column gives the time in milliseconds to generate all solutions, while the fourth column gives the time to find the first solution. The fifth column gives the average number of solutions generated from the given misspelled strings with the given edit distance. Finally, the last column gives the percentage of the search space (that is, the ratio of forward traversed arcs to the total number of arcs) that is searched when generating all the solutions.

The two-level transducer for Turkish developed using the Xerox software, was also used for spelling correction. However, the original two-level transducer had to be simplified for two-reasons. For morphological analysis, the concurrent generation of the lexical

Language	Words	Links	Average	Maximum	Average
			$\mathbf{Word}$	$\mathbf{Word}$	Fan-out
			Length	${f Length}$	
Finnish	276,448	968,171	12.01	49	1.31
English-1	213,557	741,835	10.93	25	1.33
Dutch	189,249	501,822	11.29	33	1.27
German	174,573	561,533	12.95	36	1.27
French	138,257	286,583	9.52	26	1.50
English-2	104,216	265,194	10.13	29	1.40
Spanish	86,061	257,704	9.88	23	1.40
Norwegian	61,843	156,548	9.52	28	1.32
Italian	61,183	115,282	9.36	19	1.84
Danish	25,485	81,766	10.18	29	1.27
Swedish	23,688	67,619	8.48	29	1.36

Table 1: Statistics about the language word lists used

_	Average	Average	Avg. Time	Average	Average
Language	$\mathbf{Misspelled}$	Correction	to First	Number of	% of
	${f Word}$	${f Time}$	Solution	Solutions	${f Space}$
	${f Length}$	$({f msec})$	(msec)	Found	Searched
Finnish	11.08	45.45	25.02	1.72	0.21
English-1	9.98	26.59	12.49	1.48	0.19
Dutch	10.23	20.65	9.54	1.65	0.20
German	11.95	27.09	14.71	1.48	0.20
French	10.04	15.16	6.09	1.70	0.28
English-2	9.26	17.13	7.51	1.77	0.35
Spanish	8.98	18.26	7.91	1.63	0.37
Norwegian	8.44	16.44	6.86	2.52	0.62
Italian	8.43	9.74	4.30	1.78	0.46
Danish	8.78	14.21	1.98	2.25	1.00
Swedish	7.57	16.78	8.87	2.83	1.57
Turkish (FSR)	8.63	17.90	7.41	4.92	1.23

Table 2: Correction Statistics for Threshold 1

Language	Average Misspelled	Average Correction	Avg. Time to First	Average Number of	Average % of
	$\overline{\mathbf{Word}}$	${f Time}$	Solution	Solutions	Space
	Length	$(\mathbf{msec})$	(msec)	Found	Searched
Finnish	11.05	312.26	162.49	13.54	1.30
English-1	9.79	232.56	108.69	7.90	1.51
Dutch	10.24	148.62	68.19	9.35	1.25
German	12.05	169.88	96.55	3.33	1.14
French	9.88	95.07	37.52	6.99	1.44
English-2	9.12	129.29	55.64	12.56	2.28
Spanish	8.78	125.35	48.80	10.24	2.49
Norwegian	8.36	112.06	42.13	27.27	3.47
Italian	8.41	57.87	25.09	8.09	2.36
Danish	9.15	82.39	34.80	13.25	4.23
Swedish	7.44	90.59	16.47	36.37	6.84
Turkish (FSR)	8.59	164.81	57.87	55.12	11.12

Table 3: Correction Statistics for Threshold 2

_	Average	Average	Avg. Time	Average	Average
Language	Misspelled	Correction	to First	Number of	% of
	$\mathbf{Word}$	${f Time}$	Solution	Solutions	Space
	${f Length}$	(msec)	(msec)	Found	Searched
Finnish	11.08	1217.56	561.70	157.39	3.86
English-1	9.73	1001.43	413.60	87.09	5.30
Dutch	10.30	610.52	256.90	71.89	4.07
German	11.82	582.45	305.80	21.39	3.14
French	9.99	349.41	122.38	41.58	4.00
English-2	9.36	519.83	194.69	97.24	6.97
Spanish	8.90	507.46	176.77	88.31	7.79
Norwegian	8.47	400.57	125.52	199.72	8.98
Italian	8.34	198.79	66.80	55.47	6.41
Danish	9.25	228.55	47.9	97.85	8.69
Swedish	7.69	295.14	36.89	267.51	14.70
Turkish (FSR)	8.57	907.02	63.59	442.17	60.00

Table 4: Correction Statistics for Threshold 3

gloss string requires that occasional transitions with an empty surface symbol be taken, to generate the gloss properly. Secondly, a given surface form can morphologically be interpreted in many ways which is important in morphological processing. In spelling correction, the presentation of only one of such surface forms is sufficient. To remove all empty transitions and analyses with same surface forms from the Turkish transducer, a FSR recognizing only the surface forms was extracted by using the Xerox tool *ifsm*. The resulting recognizer had 28,825 states and 118,352 transitions labeled with just surface symbols. The average fan-out of the states in this recognizer was about 4. This transducer was then used to perform spelling correction experiments in Turkish.

In the first set of experiments 3 word lists of 1000 words each were generated from a Turkish corpus, and words were perturbed as described before, for error thresholds of 1, 2, and 3 respectively. The results for correcting these words are presented in the last rows (Turkish (FSR)) of the tables above. It should be noted that percentage of search space searched may not be very meaningful in this case since the same transitions may be taken in the forward direction, more than once.

On a separate experiment which would simulate a real correction application, about 3000 misspelled Turkish words again compiled from a corpus, were processed by successively relaxing the error threshold starting with t=1. Of these set of words, 79.6% had an edit distance of 1 from the intended correct form, while 15.0% had edit distance 2, and 5.4% had edit distance 3 or more. The average length of the incorrect strings was 9.63 characters. The average correction time was 77.43 milliseconds (with 24.75 milliseconds for the first solution), and the average number candidates offered per correction was 4.29, with an average of 3.62% of the search space being traversed indicating that this is a very viable approach for real applications. For comparison, the same recognizer running as a spell-checker (t=0) can process correct forms at a rate of about 500 word/sec.

From these results it can be seen that error-tolerant recognition is very suitable for the generation of candidate correct forms in spelling correction applications in both word-list based recognizers and for recognizers derived from two-level transducers.

### 5 Conclusions

This paper has presented an algorithm for error-tolerant finite state recognition which enables a finite state recognizer to recognize strings that deviate mildly from some string in the underlying regular set, along with results of its application to error-tolerant morphological analysis, and candidate generation in spelling correction. The approach is very fast and applicable to any language with a given root and inflected form word list, or with a finite-state (two-level) transducer recognizing its word forms.

On the other hand there are cases where the proposed approach may not be very efficient and may be augmented with language specific heuristics: For instance in spell correction, users (at least in Turkey) may have a habit of replacing non-ASCII characters with their nearest ASCII equivalents due to inconveniences such as non-standard keyboards, or having to input such a character using a sequence of keystrokes. For example, in the last

spelling correction experiment for Turkish, almost all incorrect forms with edit distance 3 or more, had 3 or more non-ASCII Turkish characters, all of which were rendered with the nearest ASCII version e.g., yaşgünümüzde (on our birthday) written as yasgunumuzde. These can surely be found with appropriate edit distance thresholds, but at the cost of generating many more rather distant words. Under these circumstances, one may use language-specific heuristics first, before resorting to error-tolerant recognition.

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