

# Graph Algorithms – 3

CS 202 – Fundamental Structures of  
Computer Science II

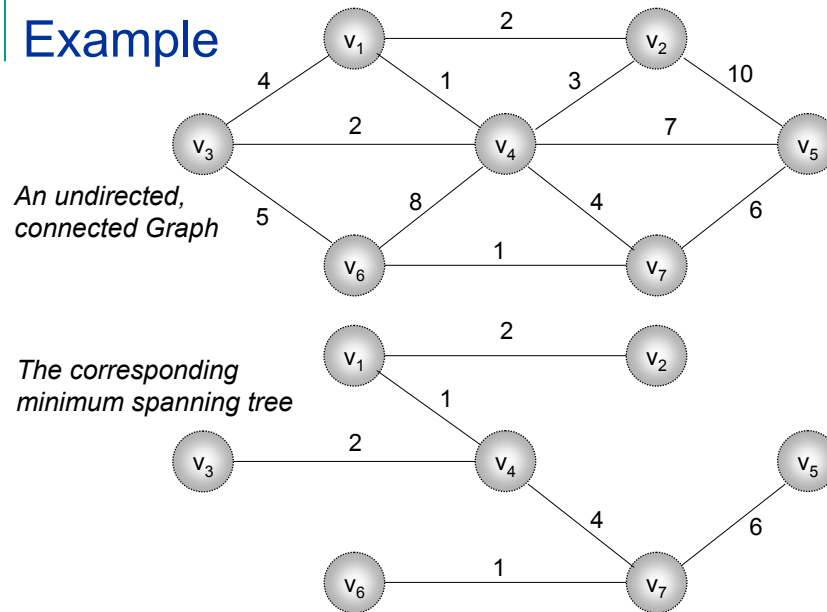
Bilkent University

Computer Engineering Department

## Minimum Spanning Tree

- Problem: Finding a minimum spanning tree in an *undirected* and *connected* graph.
- What is minimum spanning tree?
  - A *tree*
    - that covers (spans) all the vertices of a connected graph
    - that has the minimum total cost of edges in the tree.
- A minimum spanning tree exists for a graph if and only if the graph is *connected*.
- The same problem makes sense for directed graphs also, we the solution is more difficult.

## Example



## Minimum Spanning Tree (MST)

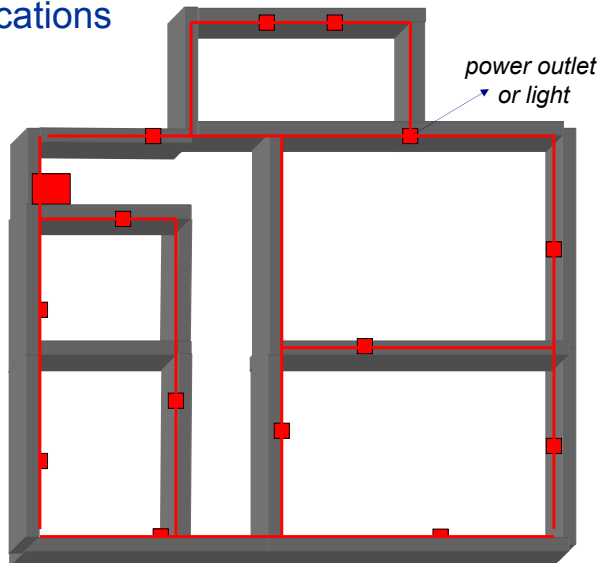
- If the number of vertices of a connected undirected graph is  $|V|$ , then its minimum spanning tree will have
  - $|V|$  vertices
  - $|V| - 1$  edges.
- An MST does not contain any cycles, since it is a tree.
- If we add an extra edge to an MST, then it will have a cycle.

## Minimum Spanning Tree (MST)

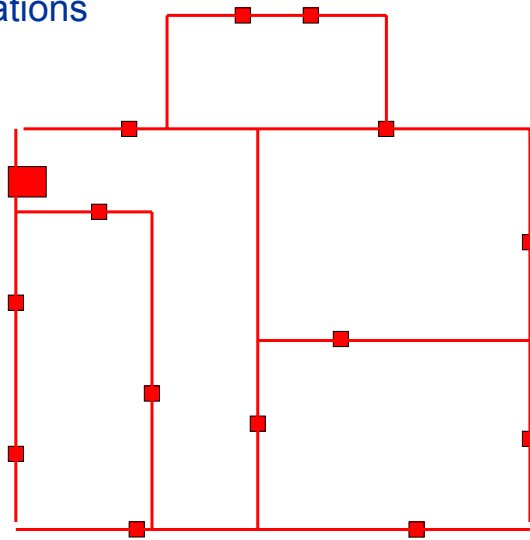
- Greedy approach for finding an MST for a graph works!
- Given a graph  $G$ , we start with an initial one vertex MST.
- At each stage we add one more vertex and one more edge (that connects this vertex to the previous MST), so that the edge has minimum possible value.

## MST Applications

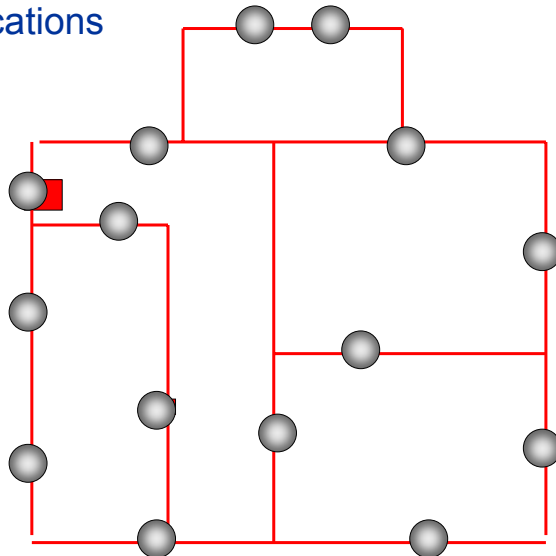
*Electrical wiring of a house using minimum amount of wires (cables)*

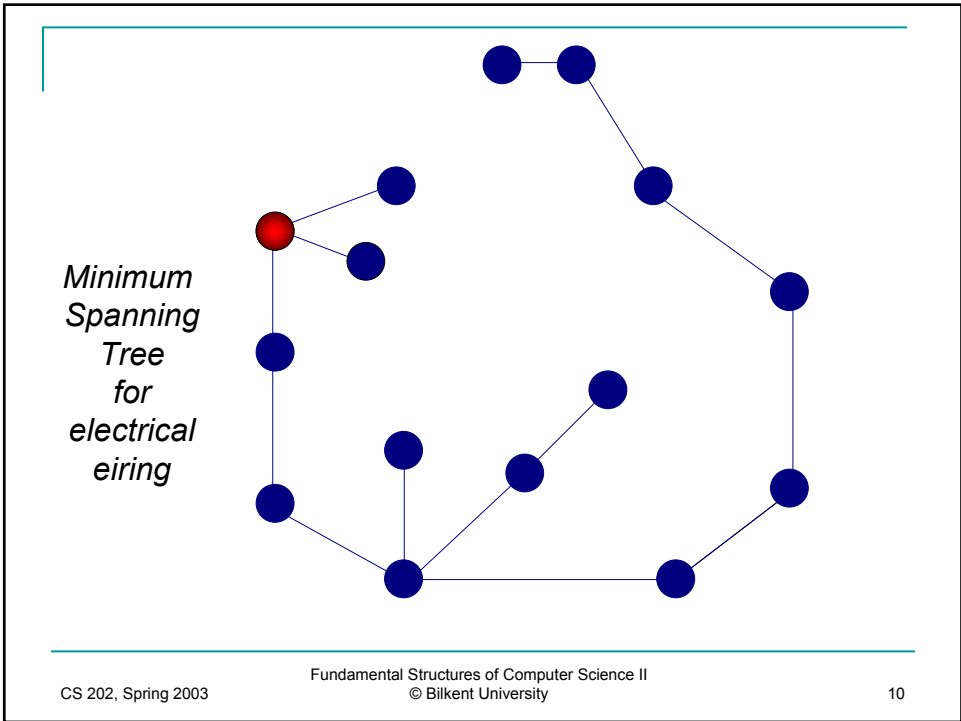
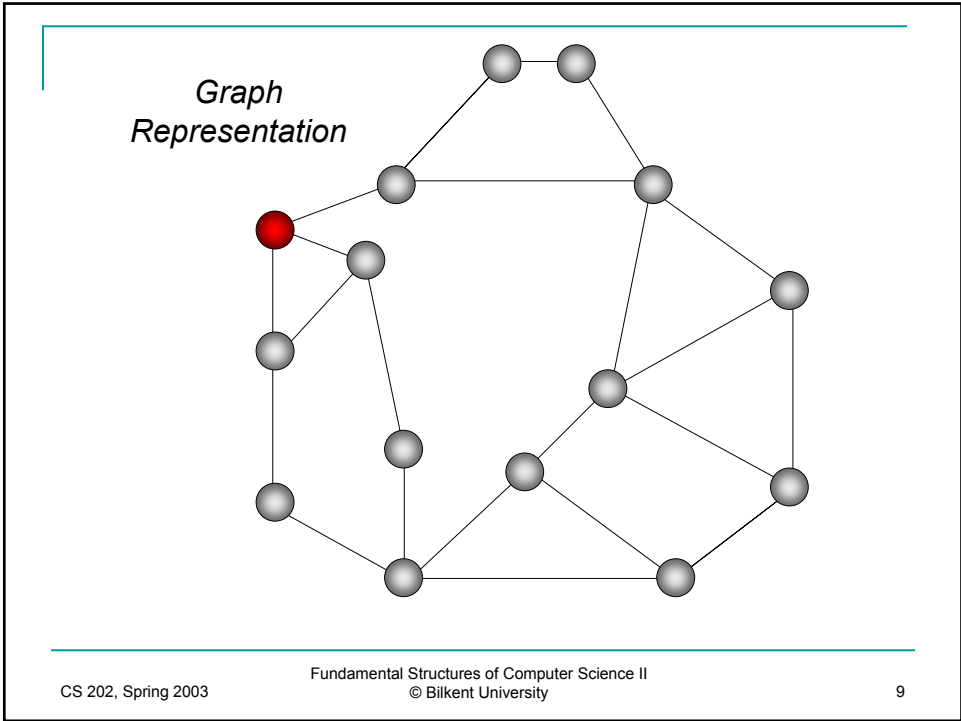


## MST Applications



## MST Applications





## MST Algorithms

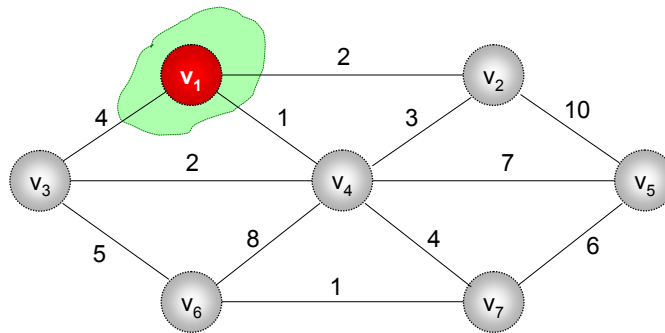
- We will see two algorithms
  - Prim's Algorithm
  - Kruskal's Algorithm

## Prim's Algorithm

- MST is grown in successive stages.
- At each stage:
  - A new vertex is added to the tree by choosing the edge  $(u,v)$  such that the *cost* of  $(u,v)$  is the smallest among all edges where  $u$  is in the tree and  $v$  is not.

# Prim's Algorithm

Start with vertex  $v_1$ . It is the initial current tree which we will grow to an MST

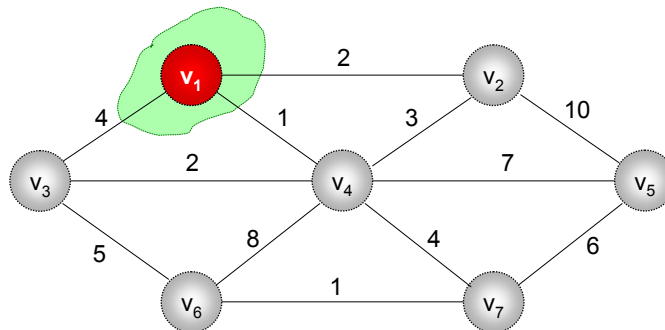


A connected, undirected graph  $G$  is given above.

# Prim's Algorithm

## Step 1

Select an edge from graph:  
that is not in the current tree,  
that has the minimum cost,  
and that can be connected to the current tree.



# Prim's Algorithm

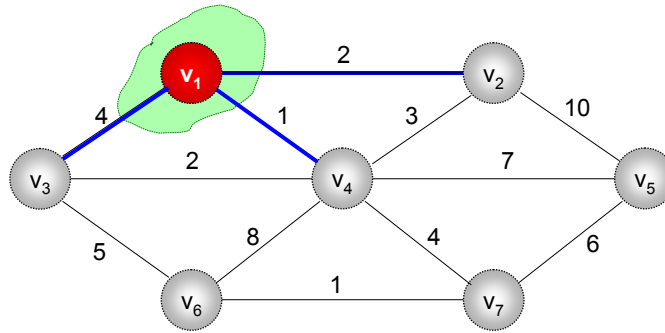
## Step 1

The edges that can be connected are:

$(v_1, v_2)$ : cost 2

$(v_1, v_4)$ : cost 1

$(v_1, v_3)$ : cost 2



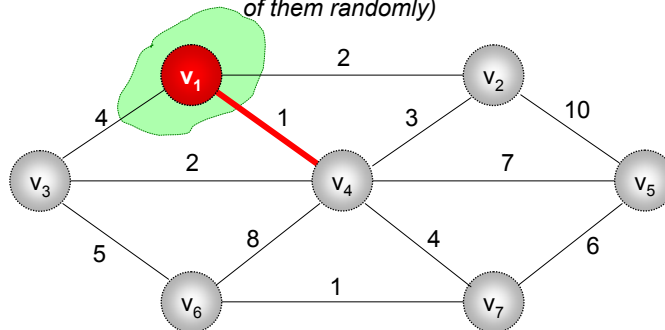
# Prim's Algorithm

## Step 1

The edge that has the minimum cost is:

$(v_1, v_4)$ : cost 1

(there could be more than one.  
In that case we could choose of  
of them randomly)

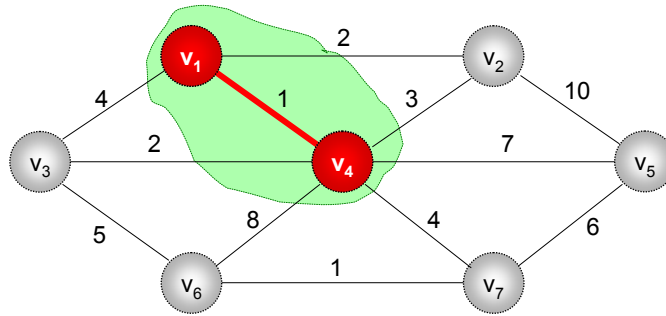




# Prim's Algorithm

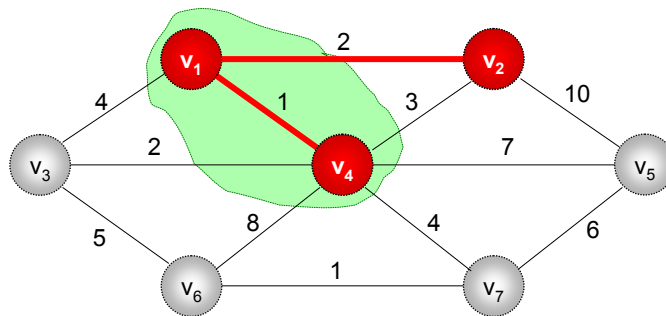
We include the vertex  $v_4$ , that is connected to the selected edge, to the current tree.  
In this way we grow the tree.

## Step 2



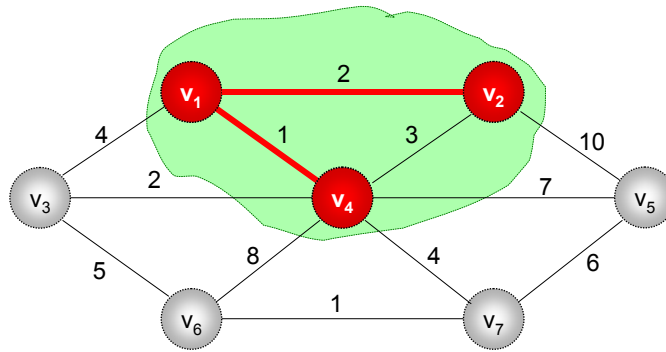
# Prim's Algorithm

Repeat previous steps: 1, 2  
You can add either edge  $(v_1, v_2)$  or  $(v_1, v_3)$ . Do a random tie-break.  
Let's add edge  $(v_1, v_2)$



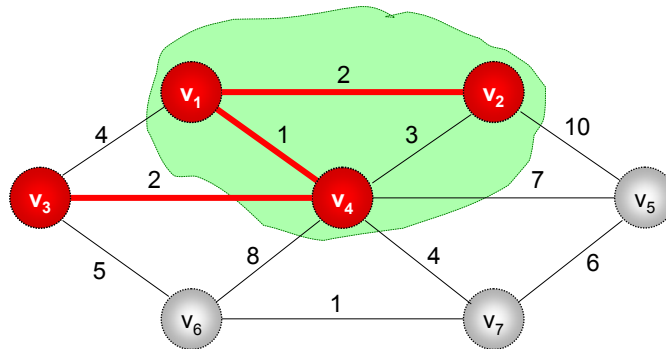
# Prim's Algorithm

*Current tree grows!*



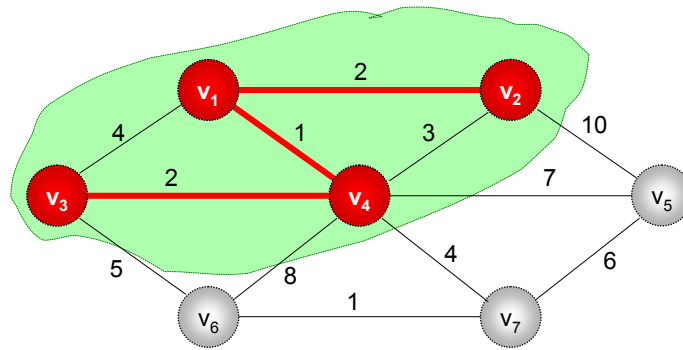
# Prim's Algorithm

*Repeat steps: 1, and 2  
Add either edge  $(v_4, v_3)$*



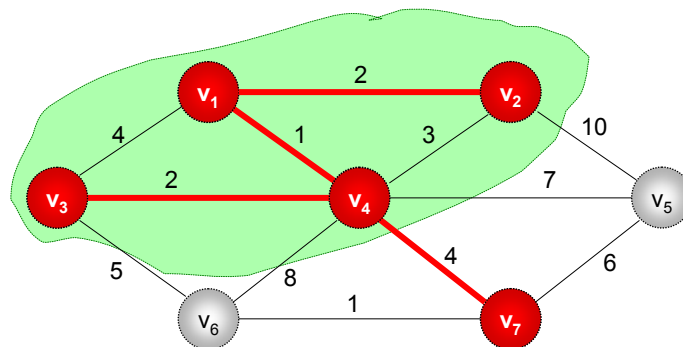
# Prim's Algorithm

Grow the tree!



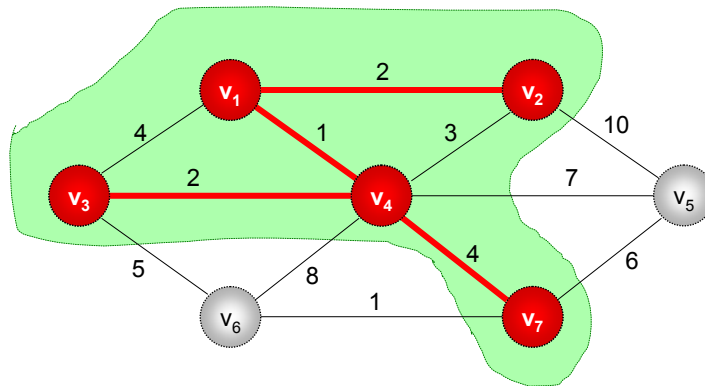
# Prim's Algorithm

Add edge  $(v_4, v_7)$



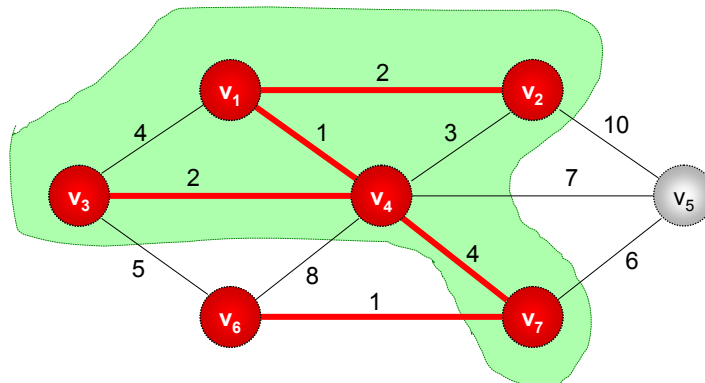
# Prim's Algorithm

Grow the tree!



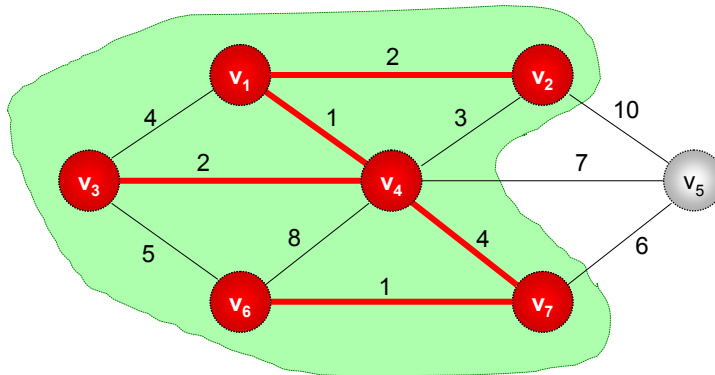
# Prim's Algorithm

Add edge  $(v_7, v_6)$



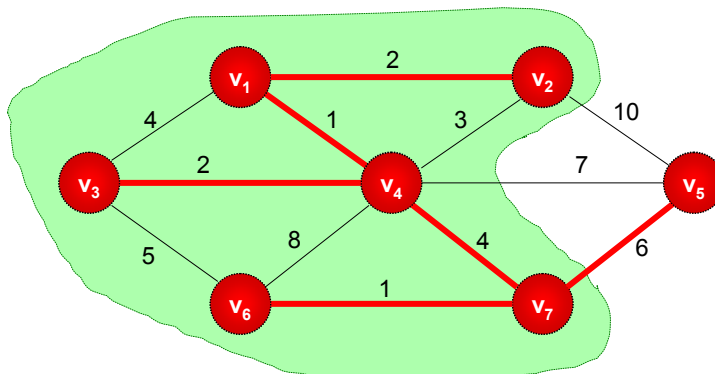
# Prim's Algorithm

Grow the tree!



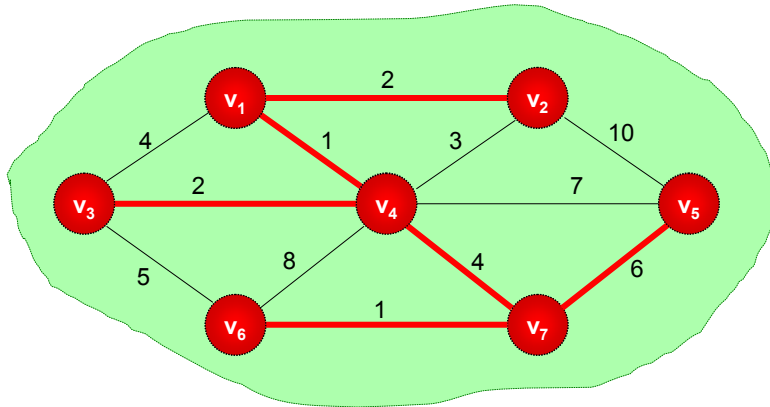
# Prim's Algorithm

Add edge  $(v_7, v_5)$



# Prim's Algorithm

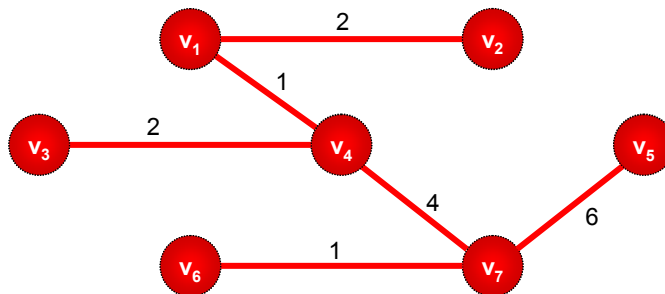
*Grow the tree!*



# Prim's Algorithm

*Finished!*

*The resulting MST is shown below!*



## Algorithm Implementation

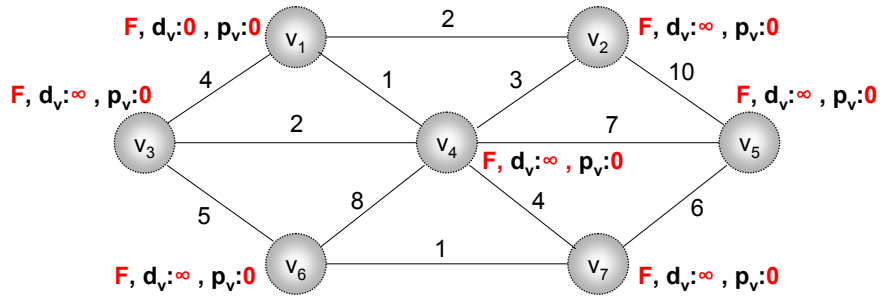
- Very similar to Dijkstra's shortest path algorithm.
  - Both are greedy type of algorithms
- For each vertex  $v$ , we keep the following information:
  - Known/unknown
    - Whether we have included the vertex in current tree or not.
  - Distance to previous node ( $d_v$ ):
    - the cost of the edge that is connecting  $v$  to a *known* vertex that is part of current tree.
  - Previous vertex ( $p_v$ )
    - The last *known* vertex, that causes a change in the value of  $d_v$

## Initial configuration of table used in Prim's Algorithm implementation

Vertex	known	$d_v$	$p_v$
$v_1$	F	0	0
$v_2$	F	$\infty$	0
$v_3$	F	$\infty$	0
$v_4$	F	$\infty$	0
$v_5$	F	$\infty$	0
$v_6$	F	$\infty$	0
$v_7$	F	$\infty$	0

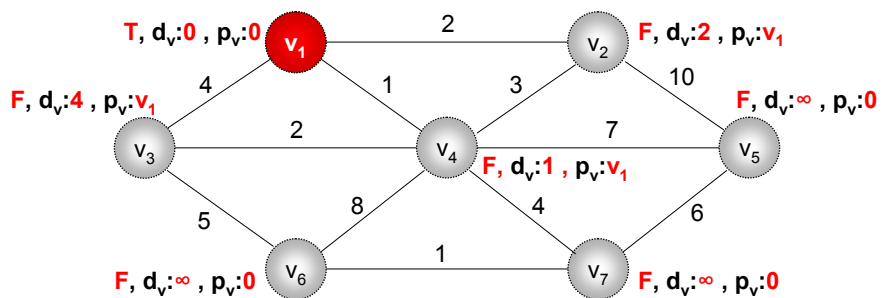
Initial Configuration

Vertex	known	$d_v$	$p_v$
$v_1$	F	0	0
$v_2$	F	$\infty$	0
$v_3$	F	$\infty$	0
$v_4$	F	$\infty$	0
$v_5$	F	$\infty$	0
$v_6$	F	$\infty$	0
$v_7$	F	$\infty$	0

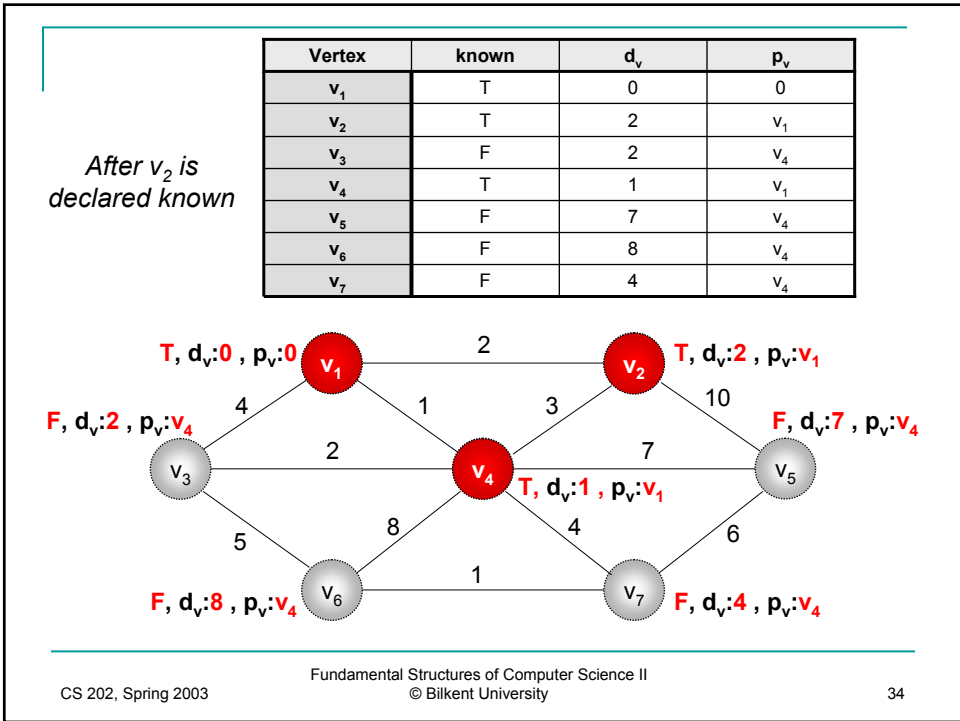
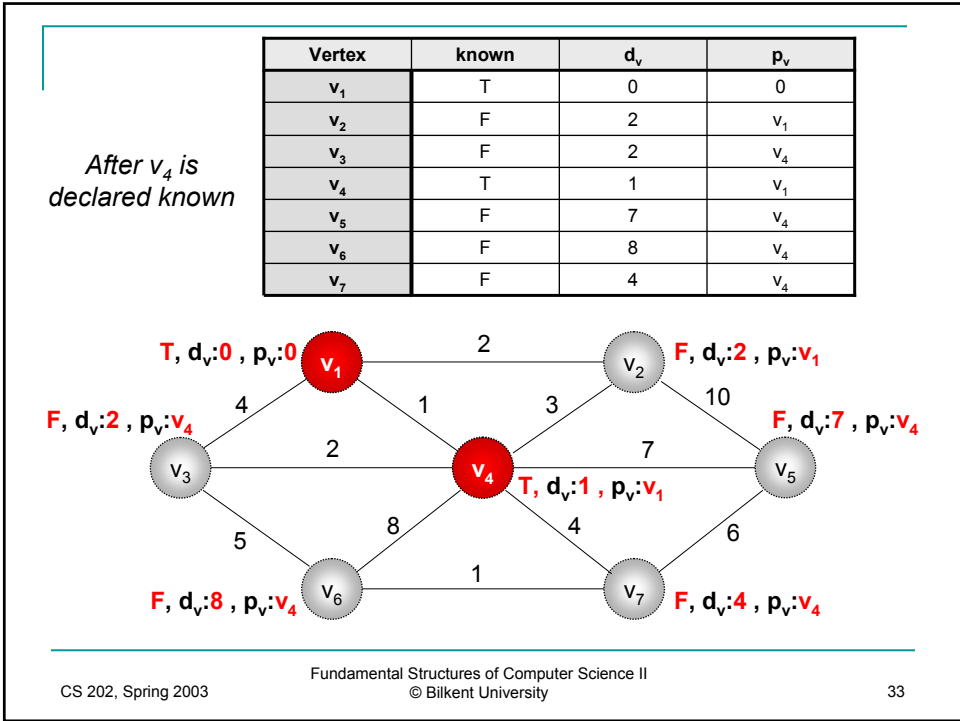


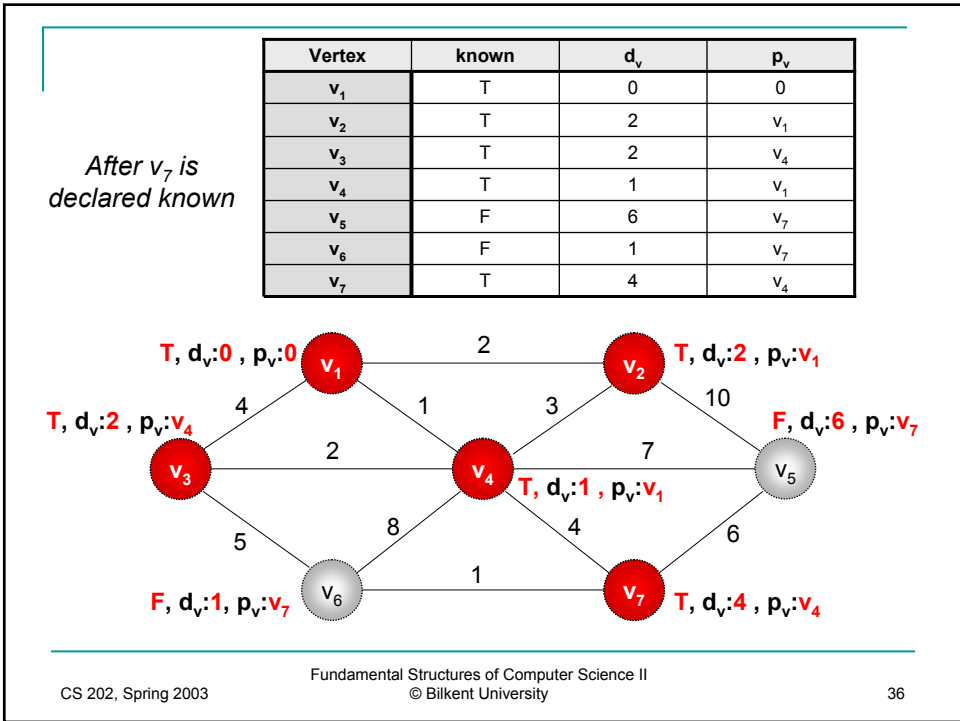
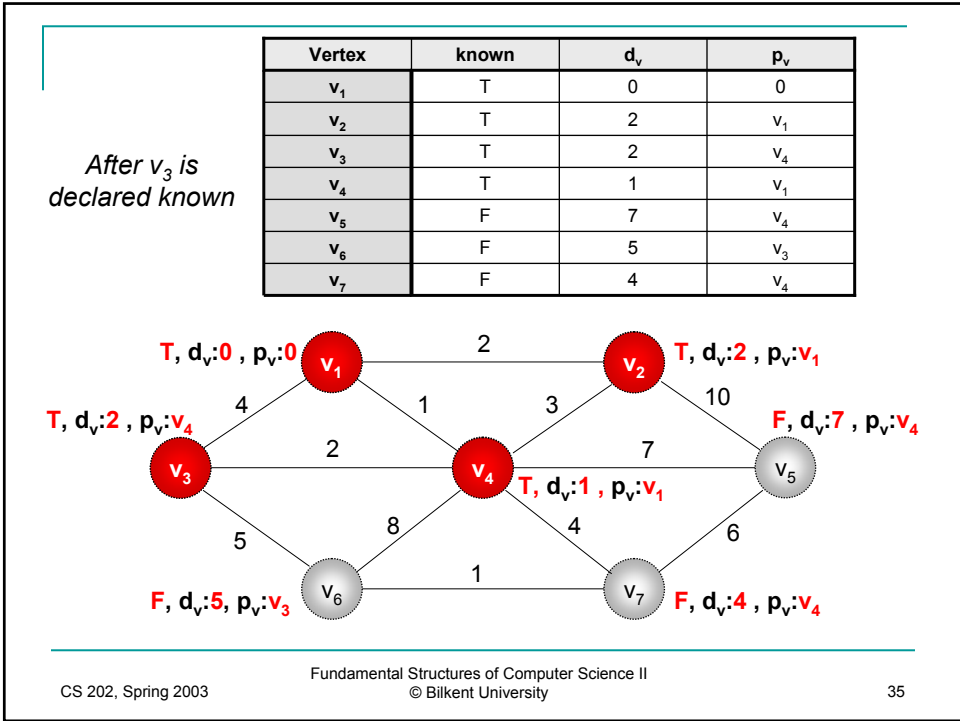
After  $v_1$  is declared known

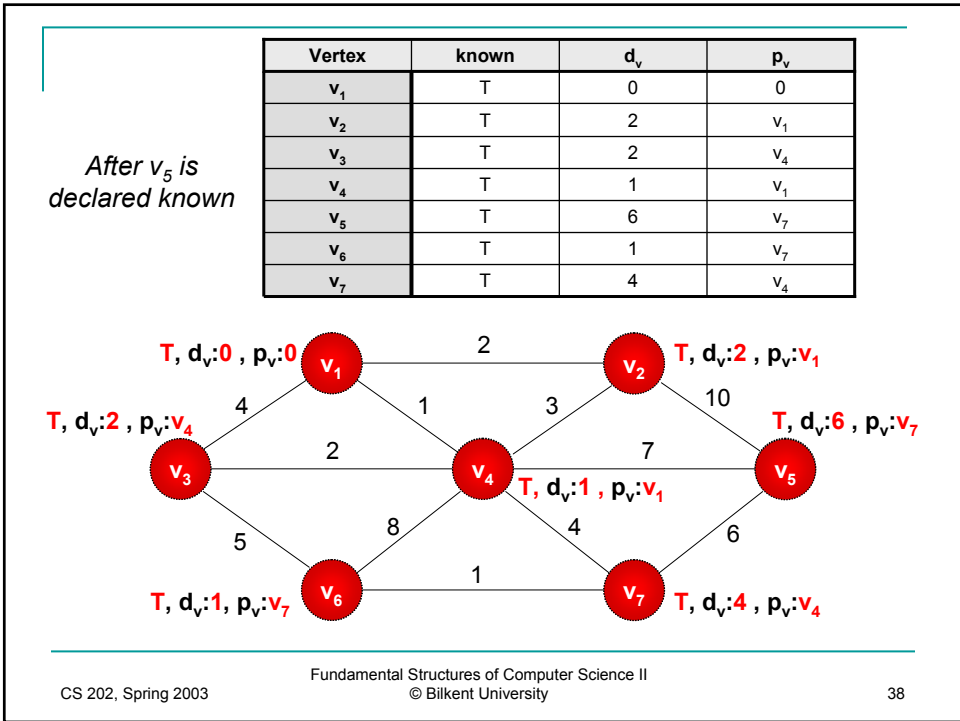
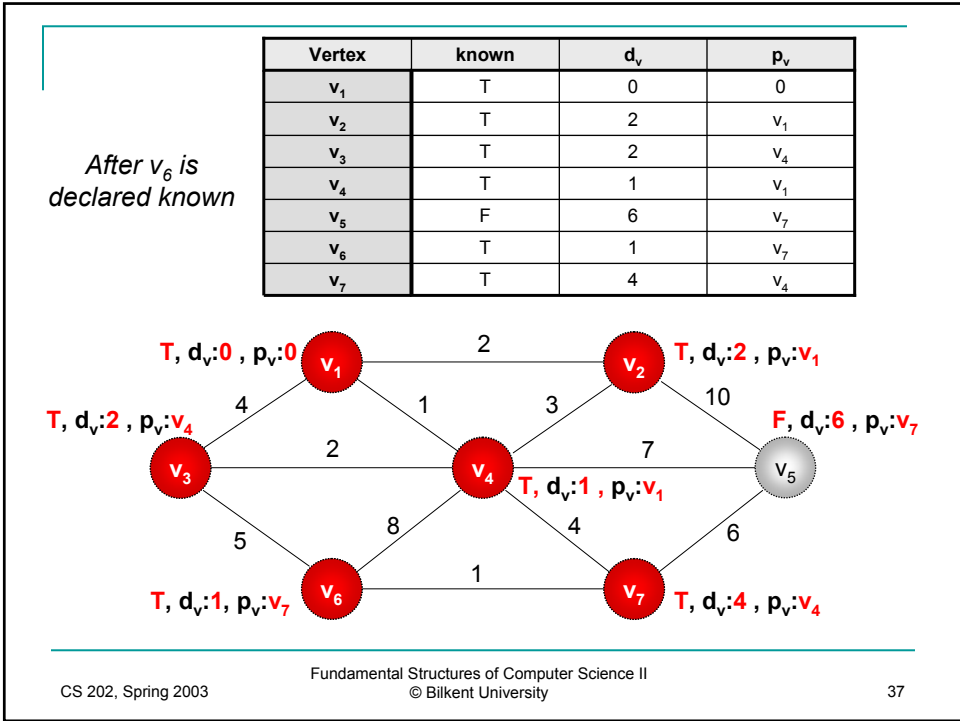
Vertex	known	$d_v$	$p_v$
$v_1$	T	0	0
$v_2$	F	2	$v_1$
$v_3$	F	4	$v_1$
$v_4$	F	1	$v_1$
$v_5$	F	$\infty$	0
$v_6$	F	$\infty$	0
$v_7$	F	$\infty$	0





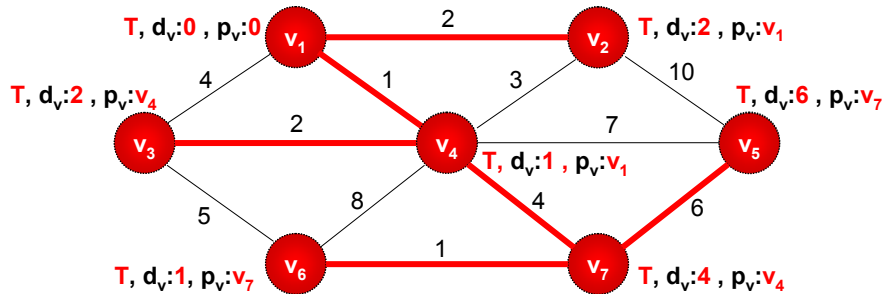






From the Table,  
read the  
edges of  
MST

Vertex	known	$d_v$	$p_v$
$v_1$	T	0	0
$v_2$	T	2	$v_1$
$v_3$	T	2	$v_4$
$v_4$	T	1	$v_1$
$v_5$	T	6	$v_7$
$v_6$	T	1	$v_7$
$v_7$	T	4	$v_4$



```

void Graph::find_prim_mst( vector<Vertex> &s /* initial vertex */)
{
    Vertex v, w;
    s.dist = 0;
    s.known = T;
    for ( ;; )
    {
        v = an unknown vertex whose distance value is minimum.
        if (v == NOT_A_VERTEX)
            break; // we are finished
        v.known = TRUE;

        for each w adjacent to v
        {
            if (w.known == FALSE) {
                if (cost_v_w < w.dist) {
                    w.dist = cost_v_w;
                    w.path = v;
                }
            }
        }
    }
}

```

**cost\_v\_w is the cost of edge from vertex v to w.**

```

class Vertex
{
    boolean known; // T or F
    int dist; // d_v
    Vertex path; // p_v
}

```

```
void Graph::print_prim_mst()
{
    Vertex v, w;
    for (each vertex v in G)
    {
        w = v.path;
        print edge (v,w);
    }
}
```

*The output is the set of edges in the spanning tree.*

## Running Time

- We execute the *outer for loop* at most  $|V|$  times (for each vertex).
  - In each iteration we try to find the unknown node that have the minimum distance:  $O(|V|)$
- We execute the *inner for loop*  $O(|E|)$  times.
- Therefore the running time of the algorithm in the given form is:
  - $O(|E| + |V|^2)$

## Running Time

- The given bound is good if the graph is dense:
  - In a dense graph  $|E| = \Theta(|V|^2)$
  - In that case, the running time  $O(|V|^2)$  which is  $O(|E|)$
  - Therefore the algorithm is very efficient in this case.

## Running Time

- The algorithm in the given form is not good if the graph is dense.
  - It is inefficient.
- The running time can be improved if we use priority queue (binary heap).

## Running Time

- If we use priority queue of vertices
  - The vertex with minimum distance is kept at the root of the heap.
- The outer loop is executed  $O(|V|)$  times.
  - The search inside the outer for loop for a vertex that has the minimum distance takes  $O(\log|V|)$  time.
- The inner loop is executed  $O(|E|)$  times.
  - The distances can be updates  $O(|E|)$  times.
  - The distance value of a vertex can be updated using *decreaseKey()* operation of binary heaps, which works in  $O(|V|)$  time. ( $|V|$  is the size of the binary heap).

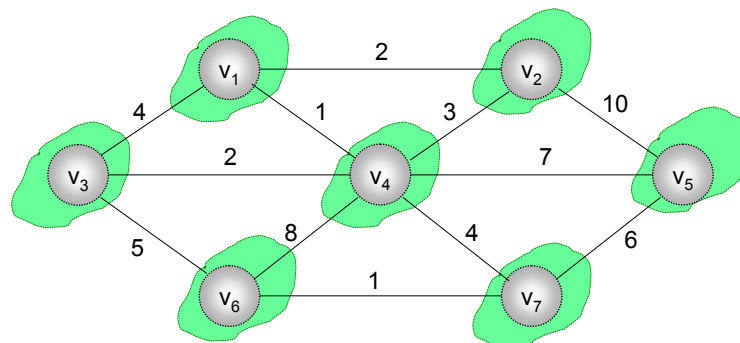
## Running Time

- Therefore the running time of MST algorithm using priority queues is:
  - $O(|V| \times \log(|V|) + |E| \times \log(|V|)) = O(|E| \times \log(|V|))$
- If  $|E|$  is  $O(|V|)$  then
  - Running time is  **$O(|V| \times \log(|V|))$**
  - This is for sparse graphs.
- Compare this with the running time of the original algorithm (the one that does not use priority queues) for sparse graphs, which is  $O(|V|^2)$

## Kruskal's Algorithm

- Select edges in the order of smallest weights and accept an edge if it does not cause a cycle.
- Kruskal's algorithm maintains a forest of trees.
  - Initially each vertex is a tree with single node
    - There are  $|V|$  trees.
  - Then, adding an accepted edge merges two trees in the forest
- When algorithm terminates, there is a single tree with  $|V|$  vertices and it is a minimum spanning tree.

## Initial Forest

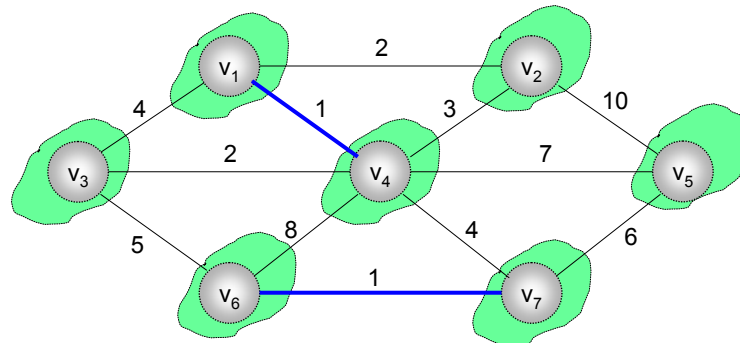




## Initial Forest

### Step 1

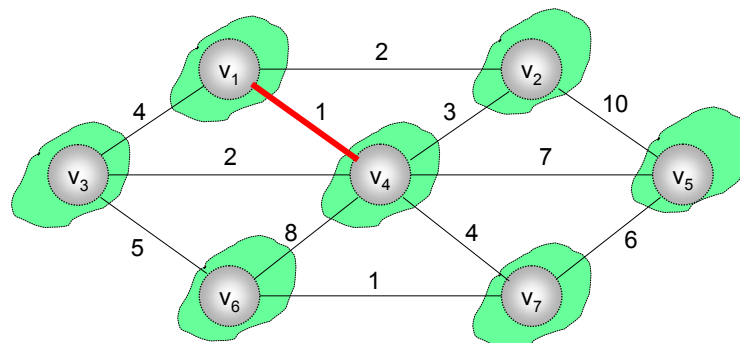
*Candidate edges are shown  
(edges that have low cost and  
edges that connect two trees)*



## Initial Forest

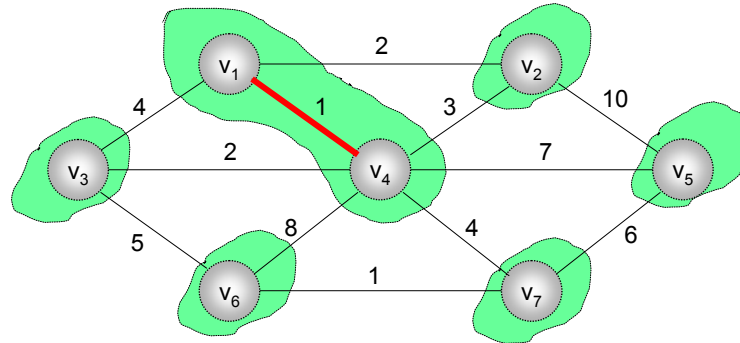
### Step 2

*Accept one of the candidate edges:  $(v_1, v_4)$   
(we can do random accept here).*

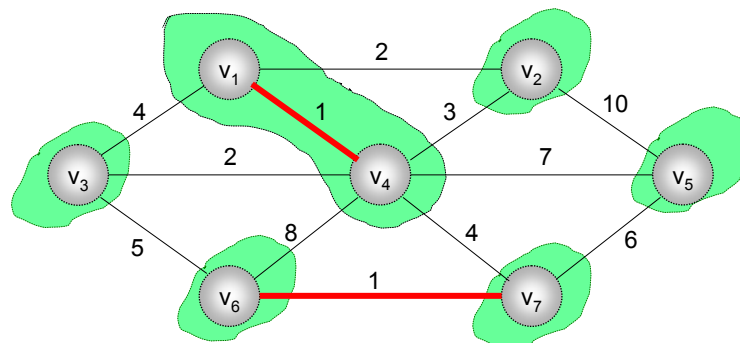


## Initial Forest

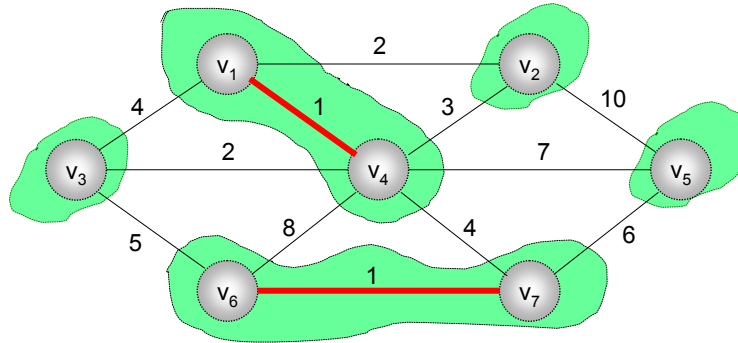
**Step 3** Merge the two trees connected by that edge.  
Obtain a new tree in this way.



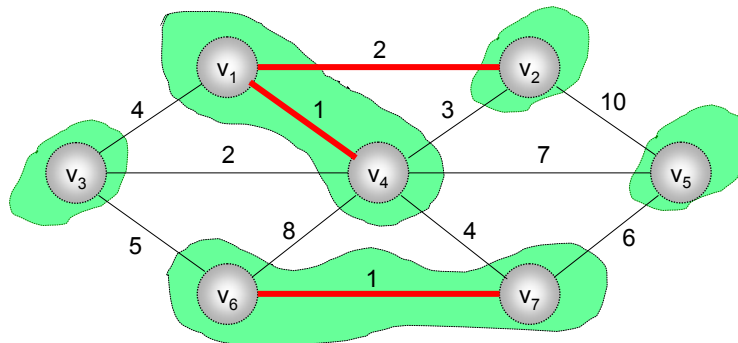
Repeat previous steps!  
Edge  $(v_6-v_7)$  is accepted.



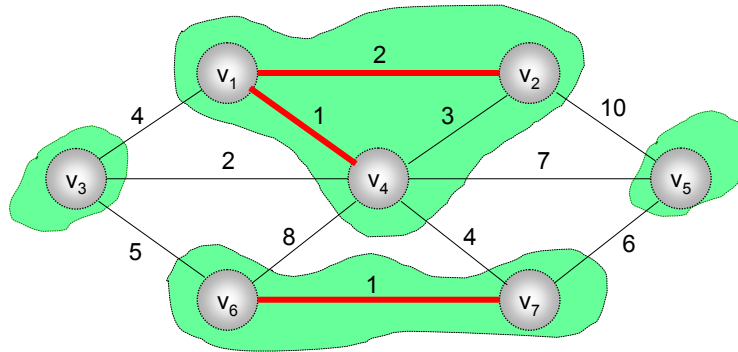
Merge the two trees connected by that edge!



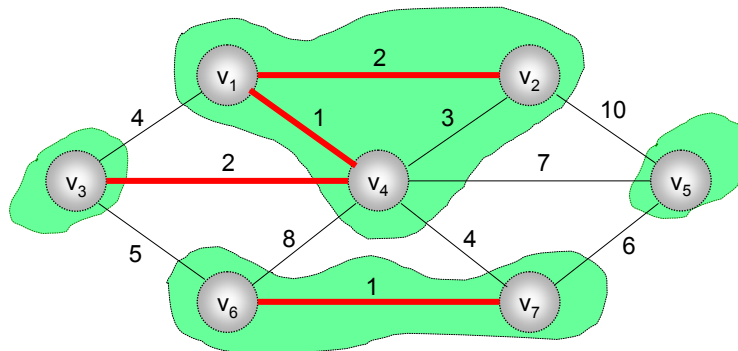
Accept edge  $(v_1, v_2)$



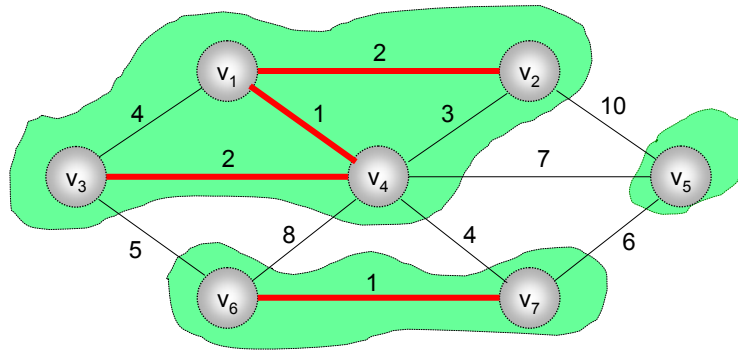
Merge the two trees connected by that edge!



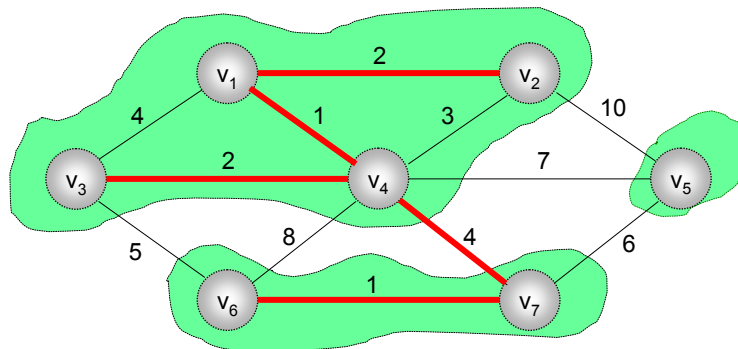
Accept edge  $(v_3, v_4)$



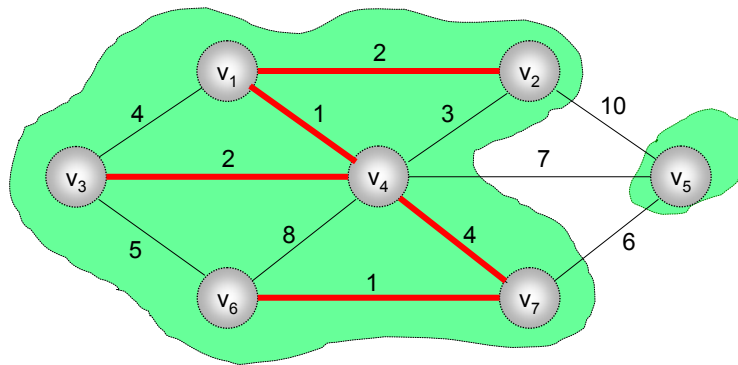
Merge the two trees connected by that edge!



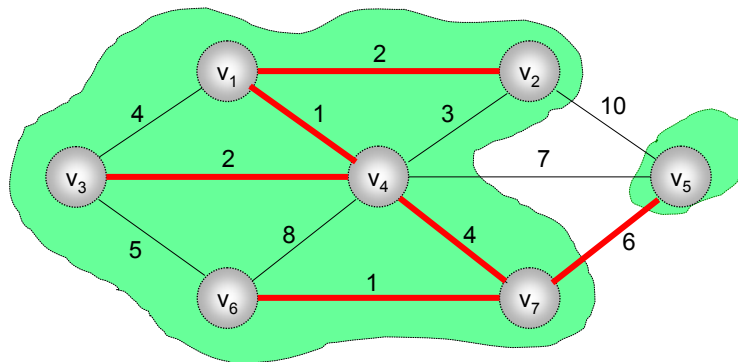
Accept edge  $(v_4, v_7)$



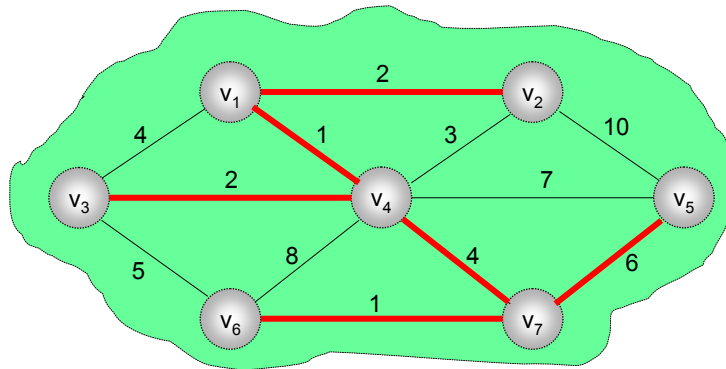
Merge the two trees connected by that edge!



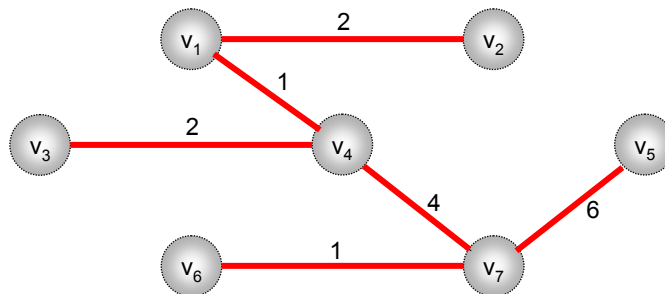
Accept edge  $(v_7, v_5)$



Merge the two trees connected by that edge!



Finished!  
The resulting MST is shown below!



```

void Graph::kruskal()
{
    int edgesAccepted; DisjSet s(NUM_VERTICES);
    PriorityQueue h(NUM_EDGES);
    Vertex u, v; SetType uset, vset; Edge e;

    h = readGraphIntoHeapArray();
    h.buildHeap();
    edgesAccepted = 0;

    while (edgesAccepted < NUM_VERTICES - 1)
    {
        h.deleteMin(e); // Edge e = (u,v)
        uset = s.find(u);
        vset = s.find(v);
        if (uset != vset)
        {
            // Accept the edge
            edgesAccepted++;
            s.unionSets (uset,m vset);
        }
    }
}

```